Biennial State Survey of School Based Medicaid Services

Final Report

Education and Research Committee
April 2014
## Contents

• Introduction 3  
• Data Collection 6  
• State Responses 7  
• Data Limitations and Considerations 8  
• Survey Questions 9  
• Additional Information Used in Survey 11  
• Data Sources 12  
• General Demographics 13  
• Direct Services 19  
• Administrative Claiming 34  
• Acknowledgements 43
Introduction

The NAME Education and Research Committee is pleased to present the 2013 NAME Biennial Survey. When the National Alliance for Medicaid in Education was formed in 2002 to bring state Medicaid, Education and Local Education Agencies together as Medicaid in Schools stakeholders, it became clear that information and research were essential to informing NAME’s membership. Education and research that enlightens and informs all stakeholders on Medicaid in Schools issues became an immediate goal of NAME and a national survey that gathered information not otherwise available was recognized as an effective means to assist in that goal. NAME members wanted to identify and understand practices and trends in Medicaid funding, both for administrative claiming and direct services provided in schools under Individual Family Services Plans (IFSPs) or Individualized Education Program (IEPs). The NAME Board approved conducting a biennial national survey, conducted on behalf of NAME, in order to collect relevant data and inform NAME’s stakeholders.

In 2003, NAME conducted its first survey, and the Education and Research Committee has been responsible for collecting and analyzing data ever since. Since 2007, members of NAME have conducted the NAME Biennial Survey on a voluntary basis. Each of these prior surveys are available to NAME members on the NAME website and results of the
surveys also have been presented at the NAME Annual Conference. In conducting the 2013 NAME Biennial Survey, which is presented here, there were a number of changes that were made in both the preparation of the survey and the presentation of its results. Some of those changes are listed below:

The American Association of School Administrators (AASA) agreed to assist NAME in designing and conducting the biennial survey and graciously provided expertise, staff resources and the online survey tools used by AASA for their own surveys.

Based prior survey response rates and member feedback, it was determined that the survey questions should be limited to collect information that would not otherwise be available. Therefore, data requested in prior surveys that could be obtained from other publicly available sources, such as enrollment and other common demographic data, would be removed from the survey and collected independently.

Data reported by the responding states would be presented “as is” without modification, adjustment or attempts to force comparisons that would not be meaningful. Rather than attempting to analyze and draw inferences or conclusions from incomplete data, the responses would be presented as reported or summarized for comparison purposes only.
Presentation of any data supplied by individual states would be limited to presenting results only, without any notes, clarifications or conclusions regarding the data, whether or not provided by the responding state.

In the following pages, the methodology that was used by the Education and Research Committee and AASA for collecting data, the response rate of the states and some caveats to consider when reviewing state specific data and some guidelines regarding how the presented data can be used are discussed. The survey results are presented in the format of a PowerPoint deck without narrative so that members can quickly and readily review data results without distracting commentary. Additionally, by providing the results in this way, tables and graphs that members find useful can be easily be accessed and copied. This format is different from prior surveys in which results were presented within a written report. In this streamlined format the focus is on the data and results and therefore enables the user to determine which data is of interest and they can view or analyze the data to meet their respective needs.
Data Collection

In a departure from prior surveys, the 2013 Biennial Survey was compiled with assistance from AASA using their online survey tool, www.K12Insight.com. Approximately ten (10) questions were included in the survey which in some instances branched into additional levels of detail depending on the responses. In general, the questions, which are shown in the next section, were targeted at information that could only be provided by the states or if the data was available from another source, would not be as current as that provided by the respondents to the survey. In particular, questions that were asked in prior surveys related to enrollment or other demographic data was excluded from the survey since it could be obtained from other public sources.

Surveys were sent to both the State Medicaid and State Education Agencies from a list of Medicaid and Education contacts in all 50 states. This list was developed from previously acquired contacts, NAME conference attendees, and state agency web sites and professional organization member lists, such as National Association of Medicaid Directors (NAMD) or the National Association of State Directors of Special Education (NASDSE). NAME members also provided contacts. The survey was distributed in Fall 2013 and several follow up messages were sent to encourage
maximum responses. Responses could be provided by the state Medicaid agency, state education agency or in collaboration of both agencies. In one instance a response was received from both agencies. In total responses were received from 31 states although not every state responded to all questions and some questions allowed for multiple responses from the same respondents.

States’ Responses

As stated above, responses from 31 states were received, although not every state responded to every question. In 2011, responses were received from 24 states and in 2009 from 31 states. States could respond to certain questions with more than one answer so in the charts and tables throughout it is not unusual for the number of valid responses to be greater than 31. Unfortunately, the same states did not consistently respond to the survey making trend analysis speculative and unreliable for predictive purposes. Still, the information that is available provides useful comparisons across states and provides members with insights that can lead to further investigation and insight on issues related to their states.
Data Limitations and Considerations

Some of the questions that were presented in the survey did not translate effectively to the new survey format and led to some confusion or responses that were not meaningful. This was apparent in some questions where the “no response” rate was high or where multiple responses suggested that the question lacked clarity. The results from those questions were not included in the tables and graphs presented here but the experience has provided useful lessons learned for formulating questions for the next survey. In particular, the question requesting that states respond to whether RMTS is used to determine district specific costs and whether district specific costs are settled using a district specific cost report were phrased as a “yes” or “no” question. As a result, some of the respondents may have misunderstood the question and the responses to those questions were not included in the results reported in this presentation.

In general the reader is cautioned not to attempt to draw conclusions, trends or infer statistically valid findings from the data presented. It is self-reported from a subset of states and year to year comparisons may not be valid due to a lack of consistency among respondents from survey to survey or between respondents.
Survey Questions

1. Please report the federal reimbursement your state received for IEP health-related direct services and Medicaid Administrative Claiming during from October 1, 2010 to September 30, 2011 and October 1, 2011 to September 30, 2012. When responding, please round to the nearest $1,000 and only indicate the federal matching funds received on a cash-basis, not the total claim submitted for reimbursement.

2. Which entities are eligible to claim for School-Based MAC reimbursement in your state?

3. Which entities are eligible to claim for Direct Services provided in the schools?

4. Please review the list of potentially reimbursable services below and indicate if any services were added, eliminated or changed for billing as an IEP health-related service.
5. Which entities are eligible to claim for School-Based MAC reimbursement in your state?

6. Which entities are eligible to claim for Direct Services provided in the schools?

7. Please review the list of potentially reimbursable services below and indicate if any services were added, eliminated or changed for billing as an IEP health-related service.

8. What methodology do you use for MAC?

9. What methodology do you use for Direct Service?

10. Do the schools have school based rates or do they use statewide rates?
Additional Information Used in Survey

- Total Student Enrollment by State
- Total Student with IEP’s by State
- Percentage of Free and Reduced Lunch Students by state
- Percentage of Section 504 Students by State when available
Data Sources

- 2013 Biennial Survey. All responses were self-reported by officials at the state level.
- Enrollment, Students with IEPs, Free and Reduced Lunch data obtained from US Dept of Education, National Center for Education Statistics
- Section 504 data obtained from US Dept of Education, Office of Civil Rights, CRDC
General Demographics
Total Student Enrollment by State of Survey Respondents
2010-2011 and 2011-2012

Source: US Dept of Education, National Center for Education Statistics
Total Number of Students with IEPs by State of Survey Respondents
2010-2011 and 2011-2012

Source: US Dept of Education, National Center for Education Statistics
Percentage of IEP Students to Total Students by State of Survey Respondents 2010-2011 and 2011-2012

Source: US Dept of Education, National Center for Education Statistics
Percentage of Free and Reduced Lunch Students by State of Survey Respondents 2011-2012

Source: US Dept of Education, National Center for Education Statistics
Cost Methodology used by States for matching Federal Funds

Source: 2013 NAME Biennial Survey
Direct Services Reimbursement

Source: 2013 NAME Biennial Survey
Entities that are eligible to claim for Direct Services provided in the schools

<table>
<thead>
<tr>
<th>Responses</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>Local School District</td>
<td>26</td>
</tr>
<tr>
<td>Other</td>
<td>14</td>
</tr>
<tr>
<td>Did not answer</td>
<td>1</td>
</tr>
<tr>
<td>Total Responses</td>
<td>41</td>
</tr>
</tbody>
</table>

Source: 2013 NAME Biennial Survey
District specific costs are settled using a district specific cost report

Source: 2013 NAME Biennial Survey
Count of States per IEP Reimbursement (in Millions)

<table>
<thead>
<tr>
<th>Reimbursement Range</th>
<th>2010-11</th>
<th>2011-12</th>
</tr>
</thead>
<tbody>
<tr>
<td>$0-24</td>
<td>12</td>
<td>14</td>
</tr>
<tr>
<td>$25-49</td>
<td>7</td>
<td>5</td>
</tr>
<tr>
<td>$50-99</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>$100-149</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>$150-199</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>&gt; $200</td>
<td>1</td>
<td>1</td>
</tr>
</tbody>
</table>
Percentage of Section 504 Students to Total Students for Reporting States 2011-2012

Source: US Dept of Education, Office of Civil Rights, CRDC
Federal Reimbursement for IEP Services
2010-2011 and 2011-2012

Source: 2013 NAME Biennial Survey
Federal Reimbursement for IEP Services
2010-2011 and 2011-2012

Source: 2013 NAME Biennial Survey
Federal Reimbursement for IEP Services
2010-2011 and 2011-2012

Source: 2013 NAME Biennial Survey
Federal Reimbursement for IEP Services
2010-2011 and 2011-2012

Source: 2013 NAME Biennial Survey
Federal Reimbursement for IEP Services
2010-2011 and 2011-2012

Source: 2013 NAME Biennial Survey
IEP Reimbursement/ IEP Students by State
2010-2011 and 2011-2012

Source: 2013 NAME Biennial Survey
US Dept of Education, National Center for
Education Statistics

29
Reports of Added LEA Billing Program Services

Source: 2013 NAME Biennial Survey
Reports of LEA Billing Services Not Provided

Source: 2013 NAME Biennial Survey
Reports of Eliminated LEA Billing Program Services

Source: 2013 NAME Biennial Survey
Reports of Changed LEA Billing Program Services

Source: 2013 NAME Biennial Survey
Medicaid Administrative Claiming

Source: 2013 NAME Biennial Survey
Time Study Methodology used by states for MAC claiming

<table>
<thead>
<tr>
<th>Methodology</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>Worker Log</td>
<td>2</td>
</tr>
<tr>
<td>Random Moment Time Sample</td>
<td>22</td>
</tr>
<tr>
<td>Other</td>
<td>6</td>
</tr>
<tr>
<td>Did not answer</td>
<td>5</td>
</tr>
<tr>
<td>Total Responses</td>
<td>35</td>
</tr>
</tbody>
</table>

Source: 2013 NAME Biennial Survey
Entities that are eligible to claim for School-Based MAC reimbursement

<table>
<thead>
<tr>
<th>Responses</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>Local School</td>
<td>23</td>
</tr>
<tr>
<td>Other</td>
<td>12</td>
</tr>
<tr>
<td>Did not answer</td>
<td>4</td>
</tr>
<tr>
<td>Total Responses</td>
<td>39</td>
</tr>
</tbody>
</table>

Source: 2013 NAME Biennial Survey
Count of States per MAC Reimbursement

- $0-9 Million
- $10-19 Million
- $20-29 Million
- $30-39 Million
- > $40 Million

2010-11 vs 2011-12
Federal Reimbursement for Administrative Claiming (MAC) 2010-2011 and 2011-2012

Source: 2013 NAME Biennial Survey
Federal Reimbursement for Administrative Claiming (MAC)  
2010-2011 and 2011-2012

<table>
<thead>
<tr>
<th>State</th>
<th>2010-2011</th>
<th>2011-2012</th>
</tr>
</thead>
<tbody>
<tr>
<td>New Jersey</td>
<td>$15</td>
<td>$18</td>
</tr>
<tr>
<td>Georgia</td>
<td>$14</td>
<td>$12</td>
</tr>
<tr>
<td>Wisconsin</td>
<td>$7</td>
<td>$12</td>
</tr>
<tr>
<td>Kansas</td>
<td>$10</td>
<td>$8</td>
</tr>
<tr>
<td>Texas</td>
<td>$2</td>
<td>$-</td>
</tr>
</tbody>
</table>

Source: 2013 NAME Biennial Survey
Federal Reimbursement for Administrative Claiming (MAC) 2010-2011 and 2011-2012

Source: 2013 NAME Biennial Survey
Federal Reimbursement for Administrative Claiming (MAC) 2010-2011 and 2011-2012

Source: 2013 NAME Biennial Survey
MAC Reimbursement/Total Students by State
2010-2011 and 2011-2012

Source: 2013 NAME Biennial Survey
US Dept of Education, National Center for Education Statistics
Acknowledgements

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• All of the NAME Survey Respondents who completed surveys

• The NAME Biennial Survey Committee
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